

PATENT

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In re Application of:

Houtzager et al.

Serial No.: 10/016,516

Filed: December 10, 2001

For: A STRUCTURE FOR PRESENTING

DESIRED PEPTIDE SEQUENCES

Confirmation No.: 8313

Examiner: T. Wessendorf

Group Art Unit: 1639

Attorney Docket No.: 2183-5208US

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 or PTO/SB/08 be considered by the Examiner and made of record. Copies of the listed documents are enclosed pursuant to 37 C.F.R. § 1.98(a).

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Information Disclosure Statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in

Serial No.: 10/016,516

37 C.F.R. § 1.56(b). Further, no representation is made by Applicants herein that no other possible material information as defined in 37 C.F.R. § 1.56(b) exists.

| | U.S. Patent Documents | |
|------------------|--------------------------|------------------------------|
| U.S. Patent No. | Publication Date | <u>Patentee</u> |
| US 2002019517 A1 | 02-14-2002 | Koide |
| | | |
| | Foreign Patent Documents | |
| Document No. | Publication Date | <u>Patentee</u> |
| WO 99 16873 | 04-08-1999 | A. Skerra |
| WO 98 56915 | 12-17-1998 | Research Corp. Technologies, |
| | | Inc. |
| WO 01 64942 | 09-07-2001 | Phylos Inc. |
| WO 00 60070 | 10-12-2000 | Innogenetics NV |
| EP 1 318 195 A1 | 06-11-2003 | CatchMabs BV |
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Other Documents

European Search Report, EP 01 20 4762, 2 pages.

SKERRA, 'Anticalins': a new class of engineered ligand-binding proteins with antibody-like properties, Reviews in Molecular Biotechnology, 2001, pp. 257-75, Vol. 74, No. 4.

SCHLEHUBER et al., Duocalins: Engineered Ligand-Binding Proteins with Dual Specificity Derived from the Lipocalin Fold, September 2001, pp. 1335-42, Vol. 382.

Serial No.: 10/016,516

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, Applicants hereby identify the following listed copending applications naming a common inventor:

Attorney Docket No.:

2183-5610US

Serial No.:

10/316,194

Filing Date:

12/10/2002

Title:

A STRUCTURE FOR PRESENTING DESIRED PEPTIDE

SEQUENCES

Attorney Docket No.:

2578-5808US

Serial No.:

10/382,361 3/5/2003

Filing Date:

Title:

HEAVY CHAIN LIBRARIES

Attorney Docket No.:

2183-5611US

Serial No.:

60/432,906

Filing Date:

12/10/2002

Title:

AFFINITY PROTEINS FOR CONTROLLED APPLICATION OF

COSMETIC SUBSTANCES

Attorney Docket No.:

2183-5979US

Serial No.:

60/467,514

Filing Date:

5/2/2003

Title:

REDUCING VIRUS LOAD IN AIR STREAMS

Serial No.: 10/016,516

This Information Disclosure Statement is believed to be filed before the mailing date of a first Office Action on the merits; therefore, no fee is due.

Respectfully submitted,

Allen C. Turner

Registration No. 33,041 Attorney for Applicant(s)

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Date: November 14, 2003

ACT/bv

Enclosures: Form PTO-1449 or PTO/SB/08

Cited Documents

Document in ProLaw

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| INFORMATION DISCLOSURE |
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| STATEMENT BY APPLICANT |

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Sheet

of

Complete if Known 10/016,516 Application Number December 10, 2001 Filing Date First Named Inventor Houtzager et al. Group Art Unit 1639 T. Wessendorf **Examiner Name** 2183-5208US Attorney Docket Number

| U.S. PATENT DOCUMENTS Document Number Name of Patentee or Applicant of | | | | | | |
|---|------|--|----------------------------------|----------------------------------|---------------------------------------|--|
| | Cite | Document Number | - Publication Date MM-DD-YYYY | Name of Patentee or Applicant of | Pages, Columns, Lines, Where Relevant | |
| | No.1 | Number - Kind Code ² (if known) | | Cited Document | Passages or Relevant Figures Appear | |
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| Examiner | Cite | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, | T ⁶ |
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| | No. ¹ | Country Code ³ - Number ⁴ - Kind Code ⁵ (if known) | | | Where Relevant Passages or Relevant Figures Appear | |
| | | WO 99 16873 | 04-08-1999 | A. Skerra | | |
| | | WO 98 56915 | 12-17-1998 | Research Corp. Technologies, Inc. | | |
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| Examiner Signature | Date Considered | |

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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO

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(use as many sheets as necessary)

Application Number 10/016,516

Filing Date December 10, 2001

First Named Inventor Houtzager et al.

Group Art Unit 1639

Examiner Name T. Wessendorf

Attorney Docket Number 2183-5208US

| OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS | | | | |
|---|--------------|---|----------------|--|
| Examiner Initials * | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² | |
| | | European Search Report, EP 01 20 4762, 2 pages. | | |
| | | SKERRA, 'Anticalins': a new class of engineered ligand-binding proteins with antibody-like properties, Reviews in Molecular Biotechnology, 2001, pp. 257-75, Vol. 74, No. 4. | | |
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¹ Unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.